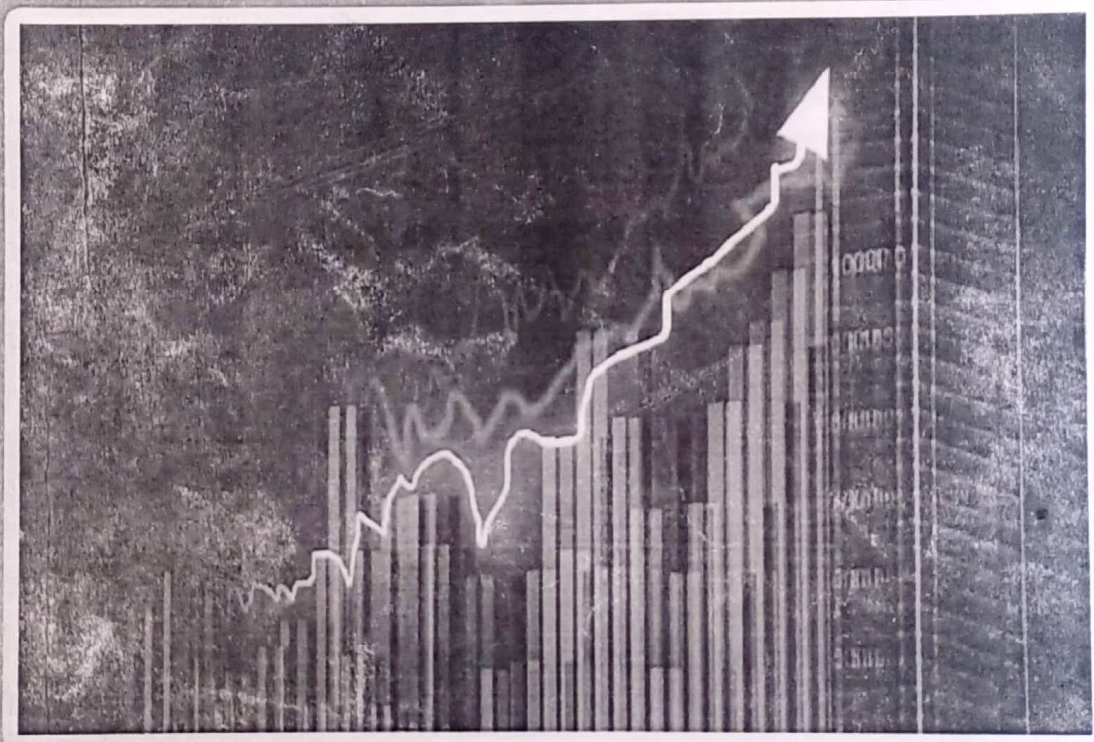


# HINDU COLLEGE, AMRITSAR

**DEPARTMENT OF COMMERCE**

**FINANCIAL MARKETS & PORTFOLIO**



**ADD ON COURSE**

Signature valid

Digitally signed by Dr

Rakesh Joshi

2024.02.29 02:59

+05:30

*Moulik*

## PG Department of Commerce & Business Management

Academic Year 2022-23

### NOTICE

This is for the information of the students that the PG Department of Commerce & Business Management is going to start the value added course on 22<sup>nd</sup> August, 2022 as per the following schedule :-

COURSE NAME	TIMINGS
1. Financial Markets & Portfolio Management	2:20 P.M. to 3:00 P.M.
2. Logical Thinking & Analysis	8:20 A.M. to 9:00 A.M.

Moude  
18/8/22

Incharge

PG Department of Commerce and Business Management

PG Department of Commerce and Business Management

Syllabus of Financial Markets & Portfolio Management

(Add on Course)

Sr. No.	TOPIC NAME	No. of Days
1.	Introduction to Financial Market	1
2.	Introduction to Money Market	2
3.	Instruments of Money Market	1
4.	Participants/ RBI Guidelines/Procedure	3
5.	Introduction to Capital Market	2
6.	Instruments of Capital Market	2
7.	Participants	3
8.	Reforms	1
9.	Types of Capital Market & its Functioning	2
10.	Types of Stock Market	3
11.	Derivative Market & its working	2
12.	SEBI Guidelines	2
13.	Mutual Funds, Types and working	3
14.	SEBI Guidelines for mutual funds	2
15.	Depositories:- Meaning, working and procedure (including NSDL & CSDL)	3
16.	SEBI Guidelines	3
17.	Introduction to Portfolio Management	2
18.	Diversification & Risk and Return Analysis	2

Signature valid

Digitally signed by Dr  
Rakesh Joshi  
2024.02.29 02:59  
+05:30

19.	Types of Market Analysis <ul style="list-style-type: none"> <li>• Fundamental</li> <li>• Technical</li> <li>• EMH</li> </ul>	2 4 2
20.	Models of Portfolio Management <ul style="list-style-type: none"> <li>• Markowitz</li> <li>• CAPM</li> <li>• APT</li> <li>• SIM</li> </ul>	1 1 1 1
21.	Alternatives for making investment decisions	2

**Vision of the Course:**-This aims to provide a comprehensive understanding of how financial markets operate and how to construct and manage investment portfolios effectively

**Outcome of the Course:-**

- Students will learn about various financial instruments, valuation methods and the impact of economic factors on investment decisions.
- Gain Knowledge about different financial markets including stocks, bonds, derivatives & commodities.
- Develop skills in building and managing investment objectives and risk tolerance.
- Acquire the ability to analyze market trends, economic indicators and other factors influencing investment decisions.

*Moulik*

Signature valid

Digitally signed by Dr  
Rakesh Joshi  
2024.02.29 02:59  
+05:30

## List of Students for

### Add on Course on Financial Markets and Portfolio Management

The following students have been enrolled in Add on course in Financial Markets and Portfolio Management:

ROLL NO	NAME OF STUDENT
6424	Riya Dogra
6809	Pranjal
6811	Bhavya Khanna
6410	Khushi
6487	Parth Bajaj
6818	Rishika Talwar
6468	Karishma Mahajan
6815	Harsh Sharma
6436	Sukhdeep Kaur
6434	Neha Pashan
6401	Jashandeep Singh
6812	Renu

Signature valid

Digitally signed by Dr  
Rakesh Joshi  
2024.02.29 02:59  
+05:30

Roll No.	August							September													
	22	23	24	25	26	27	29	30	31	1	2	3	5	6	7	8	9	10	12	13	
6424	Riya Dogra	P	A	P	P	P	A	P	A	A	A	A	A	A	A	A	A	A	A	A	A
6809	Pranjal	P	P	P	A	P	P	P	P	A	P	P	P	P	P	A	P	P	P	A	P
6811	Bhavya Khan	P	P	A	P	A	P	P	P	P	P	P	P	P	A	P	P	P	P	P	P
6410	Khushi	P	P	P	P	P	A	P	P	A	P	A	P	A	P	P	P	P	P	P	A
6487	Parth Bajaj	P	P	P	P	P	P	P	P	A	P	A	A	A	P	P	A	A	P	P	P
6818	Rishika Talwar	P	P	A	A	P	P	P	P	A	P	A	P	A	P	P	A	A	P	P	P
6468	Kaushma Mahajan	P	P	P	P	P	P	P	P	P	P	P	A	P	A	P	P	P	P	P	P
6475	Maush Sharma	P	P	P	P	P	P	P	P	P	P	P	A	P	A	P	P	P	P	P	P
6436	Subhdeep Kous	P	A	A	P	P	A	P	P	P	P	P	P	P	P	P	P	P	P	P	P
6434	Neha Pashan	A	P	P	P	A	P	P	P	P	P	P	P	A	P	A	P	P	P	P	P
6401	Jashandeep Singh	A	P	P	P	P	P	P	A	P	P	P	P	P	P	A	P	A	P	P	A
6812	Renu	A	A	P	P	P	P	P	P	P	P	P	P	P	P	A	A	A	P	P	P
6																					

Signature valid

Digitally signed by Dr Rakesh Joshi  
 2024.02.29 02:59  
 +05:30

September

Date / /  
Page No.

14, 15, 16, 17, 19, 20, 21, 22, 23, 27, 28, 29, 30

6424 King	P	A	P	A	P	A	P	A	P	A	P
6809 Poojith	P	A	P	A	P	P	P	P	A	P	
6810 Bhavya	P	P	P	P	A	P	A	P	P	A	
6410 Anshu	P	A	P	A	P	A	A	P	P	A	
6487 Anshu	P	P	P	P	P	P	P	P	A	P	
6818 Rishika	P	P	P	A	A	P	P	A	P	P	
6468 Anshu	A	P	P	A	P	A	A	P	A	P	
6815 Anshu	A	P	P	P	P	P	P	P	A	P	
6436 Anshu	A	P	P	A	P	P	P	A	P	A	
6434 Anshu	P	P	P	P	A	P	A	P	P	P	
6401 Anshu	P	A	P	P	A	A	P	P	P	A	
6812 Anshu	P	P	P	A	P	P	A	A	P	P	

October

Date / /  
Page No.

1, 6, 7, 8, 10, 12, 13, 14, 15, 17, 18, 19

	A	A	A	A	A	A	A	A	A	A	A
	P	P	P	P	P	P	P	P	P	P	P
	P	P	A	P	P	P	P	P	P	A	P
	P	P	P	P	P	P	P	P	A	P	P
	P	P	P	A	P	P	P	P	P	P	P
	A	A	P	P	P	A	A	P	P	P	A
	A	P	A	P	A	P	P	P	A	A	P
	P	P	P	P	P	P	P	A	P	P	P
	P	A	P	P	P	P	P	P	A	A	P
	P	P	P	A	P	A	P	P	P	P	A
	A	P	P	P	P	P	P	A	A	P	P
	P	A	P	P	P	P	P	P	P	A	P

Signature valid

Digitally signed by Dr Rakesh Joshi  
2024.02.29 02:59  
+05:30

Nov

Date / /  
Page No.

20 21 26 28 29 31 4.

6424	A	P	P	A	P	A	P
6809	P	P	A	P	A	P	P
6811	P	A	P	A	P	P	P
6410	P	P	P	P	A	A	P
6487	A	P	A	P	P	P	P
6818	P	A	P	A	P	A	P
6418	P	P	A	P	A	P	P
6815	P	P	P	A	P	P	P
6436	A	P	A	P	P	A	P
6434	P	P	P	A	A	P	P
6401	P	A	P	P	P	P	P
6812	P	P	A	P	P	P	P

Signature valid

Digitally signed by Dr  
Rakesh Joshi  
2024.02.29 02:59  
+05:30



## Test of Financial Markets & Portfolio Management

(Add on Course)

Maximum Marks-60

Time Allowed- 1 hour

1. Which of the following is not a regulatory institution in Indian financial system?  
a. RBI b. CIBIL c. SEBI d. IRDA
2. allocates saving efficiently in an economy to ultimate users either for investment in real assets or for consumption  
a. Economic system b. banking system c. financial system d. Market system
3. is regarded as a queen of Indian financial system.  
a. SEBI b. RBI c. Finance Ministry d. BSE
4. SEBI was established in \_\_\_\_\_.  
a. 1988 b. 1985 c. 1991 d. 1990
5. RBI started functioning on \_\_\_\_\_.  
a. 1-4-1935 b. 1-4-1948 c. 1-4-1882 d. 1-4-1945
6. Financial derivatives include  
a. Stocks b. Bonds c. Futures d. None of the above
7. The market regulator of Indian Capital Market is .....  
a. DFHI b. RBI c. SEBI d. STCI
8. ....Market is a market for old issues.  
a. Money market b. Primary market c. Secondary market d. All the above
9. Money market deals with ..... instruments.  
a. long term b. short term c. medium term d. all of these.
10. The market for extremely short period loan is called.....  
a. call money market b. money at short notice c. T-bill market d. G-sec market
11. The facility to carry forward a transaction from one settlement period to another is known as..... transaction  
a. Badla b. arbitrage c. cornering d. trading inside
12. The central depository..... the security on behalf of the investors.  
a. hold b. transfer c. both a & b above d. none of these
13. Call money is a loan given for a period of  
a. 15 days b. 30 days c. 1 day d. 1 year
14. The NSDL established in  
a. August 1996 b. August 1998 c. January 1996 d. January 1998
15. The fundamental analysis approach has been associated with \_\_\_\_\_.  
a. Uncertainties b. Certainties c. Ratios d. Balance sheet
16. \_\_\_\_\_ Analysis refers the study of the variables that influence the future of a firm both qualitatively and quantitatively.  
a. Company analysis b. Industry analysis c. Technical analysis d. Economic analysis
17. Technical analysis is useful \_\_\_\_\_.  
a. To make an estimate of growth in a stock

Signature valid

Digitally signed by Dr  
Rakesh Joshi  
2024.02.29 02:59  
+05:30

Mouke

- b. To find out the market forces influencing stock market  
 c. To indicate the direction of the overall market  
 d. To analyze the economic activity of government.
18. \_\_\_\_\_ describes the relationship between systematic risk and expected return for assets, particularly stocks.  
 a. CAPM    b. PERT    c. Sharpe ratio    d. Treynor ratio
19. A combination of various investment products like bonds, shares, securities, mutual funds and so on is called as \_\_\_\_\_  
 a. Portfolio    b. Investment    c. Speculation    d. Gambling
20. Investors agree to invest in high- risk investments if only  
 a. There are any true speculations  
 b. The predicted return is satisfactory for taking a risk  
 c. There are no safe options except for holding cash  
 d. The return is short

21.

Column – A	Column – B
A) Money Market	i) It deals with instruments with a maturity of more than one year.
B) Primary Market	ii) It deals with the sale and purchase of existing securities.
C) Capital Market	iii) It requires huge financial investment.
D) Secondary Market	iv) Price is determined by demand and supply of the securities

Options

- a) A-i  
 b) B-ii  
 c) C-iii  
 d) D-iv

22. What is the current rolling cycle under SEBI?

- A. T+5  
 B. T+1  
 C. T+2  
 D. T+3

23. Which of the following statements about the Securities and Exchange Board of India is correct?

- a. One of the objectives of setting up SEBI was to protect the interests of investors  
 b. SEBI was established under the Securities (Contract and Regulation) Act, 1956.  
 c. SEBI was formed from among the Directors of stock exchanges in India

**Signature valid**

Digitally signed by Dr. Rakesh Joshi  
 2024.02.29 02:59  
 +05:30

d. SEBI issued various rules and regulations to help bring monetary gains for investors.

24. Buying low and selling high, making a large capital gain is associated with \_\_\_\_\_  
a. Investment b. Speculation c. Gambling d. Arbitrage
25. Markowitz efficient hypothesis initiated in \_\_\_\_\_  
a. 1958 b. 1959 c. 1961 d. 1960
26. The main objective of portfolio is to reduce \_\_\_\_\_ by diversification.  
a. Return b. Risk c. Uncertainty d. Percentage
27. A combination of various investment products like bonds, shares, securities, mutual funds and so on is called as \_\_\_\_\_  
a. Portfolio b. Investment c. Speculation d. Gambling
28. Presently there are \_\_\_\_\_ AMC in India  
A) 40 B) 50 C) 44 D) 39
29. SIP stands for \_\_\_\_\_.  
A) Systematic investment plan  
B) Simple investment plan  
C) Simplified investment programme  
D) Single investment plan
30. Financial derivatives include  
(a) Forwards (b) Options (c) futures (d) All of these

*Mouib*

Signature valid

Digitally signed by Dr  
Rakesh Joshi  
2024.02.29 02:59  
+05:30